

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4139793
<b>Application Number:</b>	10697541
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7586
<b>Title of Invention:</b>	Method and apparatus for monitoring and evaluating limit order trading
<b>First Named Inventor/Applicant Name:</b>	Alan M. Buckwalter
<b>Customer Number:</b>	28062
<b>Filer:</b>	Nathaniel Levin/Edith Martin
<b>Filer Authorized By:</b>	Nathaniel Levin
<b>Attorney Docket Number:</b>	G08.047/U
<b>Receipt Date:</b>	20-OCT-2008
<b>Filing Date:</b>	30-OCT-2003
<b>Time Stamp:</b>	13:33:49
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 540
RAM confirmation Number	8421
Deposit Account	501852
Authorized User	LEVIN,NATHANIEL

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:  
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Appeal Brief Filed	G08047U_AppealBrief.pdf	165482 4037ca16586304739e1fcbf533b6d2ee7a57a10e	no	23

**Warnings:****Information:**

2	Miscellaneous Incoming Letter	G08047U_Transmittal.pdf	89240 adb4cd63b338014e9626d1bba3ac82b51268742eb	no	1
---	-------------------------------	-------------------------	--	----	---

**Warnings:****Information:**

3	Fee Worksheet (PTO-06)	fee-info.pdf	30119 435aff71ea28deec3b4cfee831c4b725346a409	no	2
---	------------------------	--------------	--	----	---

**Warnings:****Information:**

Total Files Size (in bytes):			284841
------------------------------	--	--	--------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.